

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION/DIVISIONAL  
APPLICATION UNDER 37 C.F.R. § 1.53(b)

MAIL STOP PATENT APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



21839

17538 U.S. PTO  
10/612015  
07/03/03

Sir:

This is a request for filing a ☐ continuation ☒ divisional application under 37 C.F.R. § 1.53(b) of pending Application No. 09/610,687, filed on July 7, 2000, for SUBSTRATE FOR INFORMATION RECORDING MEDIUM AND MAGNETIC RECORDING MEDIUM COMPOSED OF CRYSTALLIZED GLASS, by the following named inventor(s):

- (a) Full Name Xuelu ZOU \_\_\_\_\_  
(b) Full Name \_\_\_\_\_  
(c) Full Name \_\_\_\_\_

☐ Applicant(s) hereby requests that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

☒ The entire disclosure of the prior application from which a copy of the oath or declaration is supplied herewith is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application.

- (a) Full Name \_\_\_\_\_  
(b) Full Name \_\_\_\_\_  
(c) Full Name \_\_\_\_\_

☐ This application is being filed by more than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(5), a new oath or declaration is enclosed, and the Commissioner is requested to add the name(s) of the following person or persons who are inventors of the invention being claimed in this application.

- (a) Full Name \_\_\_\_\_  
(b) Full Name \_\_\_\_\_  
(c) Full Name \_\_\_\_\_

- ☐ Applicant(s) suggests Figure \_ for inclusion on the front page of the patent application publication and/or patent.
- ☒ Applicant(s) requests that the published application include the following assignment information: HOYA CORPORATION, 7-5, Naka-Ochiai 2-Chome, Shinjuku-ku, Tokyo 161-0032, Japan.
1. ☒ Enclosed is a copy of the prior Application No. 09/610,687 as originally filed on July 7, 2000, including copies of the specification, claims, drawings and the executed oath or declaration as filed.
2. ☐ Enclosed is a revised prior application and a copy of the prior executed oath or declaration as filed. No new matter has been added to the revised application.
3. ☐ Small entity status is hereby claimed.
4. ☒ The filing fee is calculated below ☐ and in accordance with the enclosed preliminary amendment:

C L A I M S					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$750.00 (1001)
Total Claims	27	MINUS 20 =	7	× \$18.00 (1202) =	126.00
Independent Claims	4	MINUS 3 =	1	× \$84.00 (1201) =	84.00
If multiple dependent claims are presented, add \$280.00 (1203)					0.00
Total Application Fee					\$960.00
If small entity status is claimed, subtract 50% of Total Application Fee					0.00
Add Assignment Recording Fee of \$_ if Assignment document is enclosed					0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$960.00</b>

5. ☐ This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
6. ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
7. ☒ A check in the amount of \$ 960.00 is enclosed for the fee due.
8. ☒ The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.


9. [X] Cancel in this application original claims 1-36, 39-41, 43-45, 50-52, 56-58, 64-66, 71-73, 78-80 and 85-105 of the prior application before calculating the filing fee.
10. [X] New drawings are enclosed.
11. [X] Priority of Application No. 11 193574 and Application No. 11-293003, filed on July 7, 1999 and October 14, 1999, respectively, in Japan (country) is claimed under 35 U.S.C. § 119.
- [X] The certified copy of the priority application
- [ ] is enclosed.
- [X] was filed on October 23, 2000 in prior Application No. 09/610,687, filed on July 7, 2000, and acknowledged by the Examiner on June 10, 2002 in Paper No. 10.
- [ ] has not yet been filed.
12. [X] Please amend the specification by inserting before the first line the sentence:
- [X] --This application is a [ ] continuation [X] divisional of Application No. 09/610,687, filed on July 7, 2000.--.
- [ ] --This application is a [ ] continuation [ ] divisional of U.S. Application No. \_\_, filed on \_\_, which was a national stage filing under 35 U.S.C. § 371 of International Application No. \_\_ filed on \_\_.--.
- [ ] --This application is a [ ] continuation [ ] divisional of U.S. Application No. \_\_, filed on \_\_, which was a continuation of International Application No. \_\_, filed on \_\_.--.
13. [ ] A preliminary amendment is enclosed.
14. [X] An Information Disclosure Statement is enclosed.
15. [ ] A General Authorization for Payment of Fees and Petitions for Extensions of Time is enclosed.
16. [ ] Also enclosed \_\_.
17. [X] The power of attorney in the prior application is to E. Joseph Gess and all attorneys of Burns, Doane, Swecker & Mathis, L.L.P..
- a. [X] The power appears in the original papers in the prior application.
- b. [ ] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. [ ] Recognize as Associate Attorney \_\_.

- d. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404

Date: July 3, 2003

By: \_\_\_\_\_

  
E. Joseph Gess  
Registration No. 28,510

ADDRESS OF SIGNATOR:

BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

☐ inventor(s)  
☐ assignee of complete interest  
☒ attorney or agent of record  
☐ filed under 37 C.F.R. § 1.34(a)